



Craigdale
HOUSING ASSOCIATION

APPROPRIATE POLICY DOCUMENT

Date of Review: 11.03.24

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Craigdale Housing Association can provide this document on request, in different languages and formats, including Braille and audio formats.

The Data Protection Act 2018 (DPA 2018) outlines the requirement for an Appropriate Policy Document (APD) to be in place when processing special category (SC) and criminal offence (CO) data under certain specified conditions.

Almost all of the substantial public interest conditions in Schedule 1 Part 2 of the DPA 2018, plus the condition for processing employment, social security and social protection data, require you to have an APD in place. (See Schedule 1 paragraphs 1(1)(b) and 5).

This document should demonstrate that the processing of SC and CO data based on these specific Schedule 1 conditions is compliant with the requirements of the General Data Protection Regulation (GDPR) Article 5 principles. In particular, it should outline your retention policies with respect to this data. (See Schedule 1 Part 4).

If you process SC or CO data for a number of different purposes, you do not need a separate policy document for each condition or processing activity – one document can cover them all. You may reference policies and procedures which are relevant to all the identified processing. Whilst you may explain your compliance with the principles in general terms, without specific reference to each individual Schedule 1 condition you have listed, you should provide the data subject with sufficient information to understand how you are processing their SC or CO data and how long you will retain it for.

However, if you rely on one of these conditions, your general record of processing activities under GDPR Article 30 must include:

- (a) the condition which is relied upon;
- (b) how the processing satisfies Article 6 of the GDPR (lawfulness of processing); and
- (c) whether the personal data is retained and erased in accordance with the retention policies outlined in this APD, and if not, the reasons why these policies have not been followed.

The APD therefore complements your general record of processing under Article 30 of the GDPR and provides SC and CO data with further protection and accountability. See Schedule 1 Part 4 paragraph 41.

You must keep the APD under review and will need to retain it until six months after the date you stop the relevant processing. If the Commissioner asks to see it, you must provide it free of charge. See Schedule 1 Part 4 paragraph 40.

Description of Data Processed

Give a brief description of each category of SC/CO data processed. You may wish to refer to your Article 30 record of processing for that particular data:

Housing Management:

- Health and support details
- Ethnicity
- Support Provisions
- Health Data
- Criminal Convictions

Maintenance:

- Health information
- Ethnicity
- Medical Information

Finance:

- Health Information
- Gender
- Trade Union Membership

Corporate Services:

- Health
- Criminal Convictions
- Ethnicity
- Disability
- Gender
- Trade Union Membership
- Religion and Belief
- Support Provisions

Governance:

- Health Details
- Ethnicity

Schedule 1 Condition for Processing

Give the name and paragraph number of your relevant Schedule 1 condition(s) for processing. Alternatively, you may wish to provide a link to your privacy policy, your record of processing or any other relevant documentation:

s.1 Employment, social security and social protection
s.2 Health or social care purposes
s.8 Equality of opportunity or interest
s.9 Racial and ethnic diversity at senior levels of organisation
s.16 Support for individuals with a particular disability or medical condition
s.18 Safeguarding of children and adults at risk
s.20 Insurance
s.21 Occupational pensions
s.24 Disclosure to elected representatives
s.29 Consent

Procedures for Ensuring Compliance with the Principles

You need to explain, in brief and with reference to the conditions relied upon, how your procedures ensure your compliance with the principles below.

This helps you meet your accountability obligations. You have a responsibility to demonstrate that your policies and procedures ensure your compliance with the wider requirements of the GDPR and in particular the principles. The sensitivity of SC and CO data means the technical and organisational measures you have in place to protect such data are crucially important.

The questions listed in each box are intended to help you describe how you satisfy each principle generally and are based on the checklist for each principle provided in the Guide to the GDPR. They are not exhaustive and are only intended to act as a guideline.

In explaining your compliance with the principles, you should consider the specifics of your processing with respect to the SC and CO data you have identified above. You may also wish to answer other questions which are included in our Guide to the GDPR checklists (see links in each section below).

There is also no requirement to reproduce information which is recorded elsewhere – **questions may be answered with a link or reference to other documentation, to your policies and procedures, Data Protection Impact Assessments (DPIAs) or to your privacy notices.**

Accountability Principle

- i. Do we maintain appropriate documentation of our processing activities?
- ii. Do we have appropriate data protection policies?
- iii. Do we carry out data protection impact assessments (DPIA) for uses of personal data that are likely to result in high risk to individuals' interests?

See general checklist for Accountability and Governance.

- i. Yes – ROPA
- ii. Yes – Data Protection Policy
- iii. In progress

Principle (a): Lawfulness, Fairness and Transparency

- i. Have we identified an appropriate lawful basis for processing and a further Schedule 1 condition for processing SC/CO data?
- ii. Do we make appropriate privacy information available with respect to the SC/CO data?
- iii. Are we open and honest when we collect the SC/CO data and do we ensure we do not deceive or mislead people about its use?

See general checklist for Lawfulness, fairness and transparency.

- i. Yes, as detailed on ROPA
- ii. Yes – privacy notices
- iii. Yes

Principle (b): Purpose Limitation

- i. Have we clearly identified our purpose(s) for processing the SC/CO data?
- ii. Have we included appropriate details of these purposes in our privacy information for individuals?
- iii. If we plan to use personal data for a new purpose (other than a legal obligation or function set out in law), do we check that this is compatible with our original purpose or get specific consent for the new purpose?

See general checklist for purpose limitation.

- i. Yes, as per ROPA
- ii. Yes
- iii. Yes

Principle (c): Data Minimisation

- i. Are we satisfied that we only collect SC/CO personal data we actually need for our specified purposes?
- ii. Are we satisfied that we have sufficient SC/CO data to properly fulfil those purposes?
- iii. Do we periodically review this particular SC/CO data, and delete anything we don't need?

See general checklist for Data minimisation.

- i. Yes
- ii. Yes
- iii. Yes

Principle (d): Accuracy

- i. Do we have appropriate processes in place to check the accuracy of the SC/CO data we collect, and do we record the source of that data?
- ii. Do we have a process in place to identify when we need to keep the SC/CO data updated to properly fulfil our purpose, and do we update it as necessary?
- iii. Do we have a policy or set of procedures which outline how we keep records of mistakes and opinions, how we deal with challenges to the accuracy of data and how we ensure compliance with the individual's right to rectification?

See general checklist for Accuracy.

- i. Yes
- ii. Yes
- iii. Yes

Principle (e): Storage Limitation

- i. Do we carefully consider how long we keep the SC/CO data and can we justify this amount of time?
- ii. Do we regularly review our information and erase or anonymise this SC/CO data when we no longer need it?
- iii. Have we clearly identified any SC/CO data that we need to keep for public interest archiving, scientific or historical research, or statistical purposes?

See general checklist for Storage limitation.

- i. Yes
- ii. Yes
- iii. Yes

Principle (f): Integrity and Confidentiality (Security)

- i. Have we analysed the risks presented by our processing and used this to assess the appropriate level of security we need for this data?
- ii. Do we have an information security policy (or equivalent) regarding this SC/CO data, and do we take steps to make sure the policy is implemented? Is it regularly reviewed?
- iii. Have we put other technical measures or controls in place because of the circumstances and the type of SC/CO data we are processing?

See general checklist for Security.

Yes

Retention and Erasure Policies

You need to explain your retention and erasure policies with respect to each category of SC/CO data (this could include a link to your retention policy if you have one). You need to explicitly indicate how long you are likely to retain each specific category of SC/CO data.

Yes, as per Data Retention Policy and Schedule

APD Review Date

March 2025